

**HKEX INFORMATION SERVICES LIMITED**

(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

23 January, 2018  
Our Ref: MDD/18/750

**By Email**

**To: Securities Market Data Vendors, End Users and Application Service Providers (Collectively “Clients”); and All Independent Software Vendors (“ISVs”)**

Dear Sirs,

**Rollout of Orion Trading Platform - Securities Market (OTP-C) on 5 February 2018**

Our notices of 26 October 2017 (Our Ref: [MDD/17/1397](#)), 30 November 2017 (Our Ref: [MDD/17/1510](#)), 19 December 2017 (Our Ref: [MDD/17/1597](#)) and 10 January 2018 (Our Ref: [MDD/18/494](#)) updating you on the implementation of OTP-C refer please.

Please be informed that OTP-C will be rolled out on **5 February 2018 (Monday)** and a Post Release Test (PRT) will be conducted on **3 February 2018 (Saturday)**. HKEX Orion Market Data Platform – Securities Market (OMD-C) will be operating during the PRT. Direct Connection Clients, including those connected through the Mainland Market Data Hub (MMDH), interested to participate in the PRT should complete and submit the [online registration form](#) on or before **29 January 2018**.

After successful completion of the PRT, the exchange will confirm the rollout of OTP-C at around 3:30 pm on the same day (3 February 2018) via a special message posted on the HKEX website at the [OTP-C web corner](#). If there is an unexpected incident during the PRT and the trading system needs to fall back to AMS/3.8, an announcement on the fallback decision will be made instead at that time on the same web corner. Clients are advised to check the OTP-C web corner for the implementation status at that time.

After the rollout of OTP-C on 5 February 2018, there will be a two-week stabilisation period from 5 February to 15 February 2018 during which a fallback arrangement will be in place to allow the trading system to fall back to AMS/3.8 for trading on the next trading day, in the unlikely event of system outage of OTP-C or any other emergency.

Clients must have their own fallback procedure in place, and ascertain their capability of handling the fallback situation that could arise after the PRT or during the stabilisation period. Please also complete the [online questionnaire](#) on your fallback arrangement and return it to us on or before **29 January 2018**.

Last but not the least, Clients not participating in the PRT are advised not to connect to OMD-C on that day. Clients who have received OMD-C data during the PRT should discard the test data afterwards and the test data should not be further redistributed for any other purpose than testing.

Please find enclosed the relevant participant circular covering the activity rundowns of the PRT and the fallback arrangement after the PRT in case of emergency as well as the details of the fallback arrangements during the stabilisation period for your reference.

Should you have any queries, please contact us at (852) 2211 6558 or send your questions to [IVSupport@hkex.com.hk](mailto:IVSupport@hkex.com.hk).

Yours sincerely,

Karen Lam  
Vice President  
Data Connectivity & Support, Market Data  
Markets Division

Enclosure: Participant circular (both Chinese and English)